



THE CHARTER SCHOOLS
EDUCATIONAL TRUST

Gifts, Hospitality and Anti-bribery Policy

Author	Date	Reviewer	Date
G Griffith - CFO	07.07.21	S Varcoe – Head of Trust Governance	0707.21
Approved: Finance, Audit and Risk Committee		July 2021	
Next Review Date		July 2023	

Contents:

[Statement of intent](#)

1. [The Bribery Act 2010](#)
2. [Unacceptable practice](#)
3. [Acceptable practice](#)
4. [Charitable donations](#)
5. [Gifts and hospitality from parents and pupils](#)
6. [Gifts to staff from the school](#)
7. [Reporting suspected bribery](#)
8. [Following investigation](#)
9. [Record keeping](#)
10. [Policy review](#)

Statement of intent

The Charter Schools Educational Trust (the 'Trust') is committed to the highest ethical standards and acting with integrity in all business activities. This policy details the Trust's position on preventing and prohibiting bribery.

Bribery by, or of, employees, agents or consultants, or any person acting on behalf of the Trust and its schools will not be tolerated. The Trust are committed to implementing effective measures to prevent, monitor and eliminate bribery.

Bribery and corruption by individuals are punishable by up to 10 years' imprisonment and the Trust could face an unlimited fine and serious damage to its reputation; therefore, the Trust takes its legal responsibilities very seriously.

The purpose of this policy is to:

- Establish the responsibilities of the Trust in observing and upholding our position on bribery and corruption.
- Provide information and guidance to Trust staff on how to recognise and deal with bribery and corruption concerns.

This policy covers all individuals working for the Trust at all levels (whether permanent, fixed-term or temporary), and includes members, trustees, governors, volunteers, agents and any other person associated with the Trust (known throughout the policy as employees).

1. The Bribery Act 2010

- 1.1. The Bribery Act 2010 came into force on 1 July 2011. It covers bribery and corruption in business activities in the UK and overseas. Under the Bribery Act 2010, a bribe is a financial or other type of advantage offered with the intention of inducing or rewarding improper performance of a function or activity, or knowledge or belief that accepting such a reward would constitute the improper performance of such a function or activity.
- 1.2. A criminal offence will be committed under the Act if:
 - An employee or associated person acting for, or on behalf of, the Trust offers, promises, gives, requests, receives or agrees to receive bribes.
 - An employee or associated person acting for, or on behalf of the Trust, offers, promises or gives a bribe to a public official with the intention of influencing that official in the performance of their duties.
 - And, in either case, the Trust does not have the defence that it has adequate procedures in place to prevent bribery.

2. Unacceptable practice

- 2.1. It is not acceptable for employees to:
 - Give, promise or offer a payment, gift or hospitality, with the expectation or hope that an advantage for the Trust or its schools will be received or to reward an advantage already received.
 - Give, promise or offer a payment, gift or hospitality to a government official, agent or representative to facilitate or expedite a routine procedure.
 - Accept payment from a third party if they know or suspect that it is offered with an expectation of a business advantage in return.
 - Threaten or retaliate against another worker who has refused to commit a bribery offence or who has raised concerns under this policy.
 - Engage in any activity that may lead to a breach of this policy.

3. Acceptable practice

- 3.1. This policy does not prohibit normal and appropriate hospitality (both given or received) if the following requirements are met:
 - It is not made with the intention of influencing a third party to obtain or retain business or a business advantage, or to reward the provision or retention of business or a business advantage, or in exchange for favours or benefits.
 - It is given in the Trust's name, not in the individual's.
 - It complies with local law.
 - It does not include cash or a cash equivalent, e.g. vouchers, gift certificates.
 - It is appropriate in the circumstances, e.g. the giving of small gifts at Christmas time.
 - The type and value of the gift is reasonable given the reason the gift is offered.

- It is given openly, not secretly.
 - Gifts should not be offered to, or accepted from, government officials or representatives without the prior approval of the relevant School Business Manager or Trust CFO.
- 3.2. The advice of the Trust is to, in all circumstances, consider whether the gift or hospitality is reasonable and justified and to consider the intention behind the gift.

4. Charitable donations

- 4.1. Charitable donations are considered to be part of the school's wider purpose. The Trust supports a number of carefully selected charities.
- 4.2. The Trust may also support fundraising events involving employees. The Trust only makes charitable donations that are legal and ethical.
- 4.3. No donation must be offered or made in the Trust's name without the prior approval of the relevant School Business Manager or Trust CFO.

5. Gifts and hospitality from parents and pupils

- 5.1. It is permissible for staff to accept gifts from parents and pupils, for example, before Christmas and at the end of term/year.
- 5.2. Gifts of a value of **£20** or less can be accepted from parents and pupils without approval from the relevant Headteacher (read also Head of School) or the Trust CFO (providing that these gifts do not satisfy the conditions outlined in 5.4). These gifts do not need to be recorded in the Gifts and Hospitality Register.
- 5.3. Staff are permitted to accept gifts from groups of pupils or parents up to the value of **£50** (providing that these gifts do not satisfy the conditions outlined in 5.4). These gifts do not need to be recorded in the Gifts and Hospitality Register.
- 5.4. Staff will not accept:
- Cash or monetary gifts, including tokens and store gift receipts.
 - Gifts or hospitality offered to their spouse, partner, family member or friend.
 - Gifts or hospitality from a potential supplier or tenderer.
 - Lavish or extravagant gifts or hospitality.
- 5.5. Staff will consider the following before accepting gifts or hospitality:
- Whether there is any benefit to the Trust in them accepting the scale, amount, frequency and source of the offer.
 - The timing of the offer in relation to forthcoming decisions.
 - Whether accepting the offer could be misinterpreted as a sign of their, or the Trust's, support or favour.

- 5.6. Where staff believe the offer satisfies one of the conditions in 5.4 or is above the values outlined in 5.2 or 5.3, staff will seek prior approval from the relevant Headteacher or the Trust CFO before accepting the offer.
- 5.7. In accordance with 5.6, details of the offer will be recorded as outlined in [section 9](#) of this policy.
- 5.8. Staff will not accept any gifts that they believe to be excessive or more than simply a token gift of gratitude at an acceptable time of year, such as Christmas or end of term/year.
- 5.9. If a gift is received without warning, staff will politely decline the gift. Or, if they feel it would be inappropriate to do this, they will refer the matter to the relevant Headteacher or The Trust CFO as soon as possible to allow the relevant Headteacher or CFO to decide the course of action.
- 5.10. In accordance with 5.9, the relevant Headteacher may decide to return the gift, ask the Trust CFO or CEO for their view, or donate the gift to a charity/other local cause.
- 5.11. If staff are unsure whether to accept a gift in any situation, they will speak to the relevant Headteacher or the Trust CFO.
- 5.12. Parents and pupils will be informed of the Trust's policy regarding gifts and hospitality and will be encouraged to speak to the Headteacher or Trust CFO if they want to give a staff member a gift which is of high value or may satisfy any of the conditions outlined in 5.4.

6. Gifts to staff from the school

- 6.1. The purchasing of excessive or alcoholic gifts is regarded as irregular expenditure.
- 6.2. The Trust may, at the Trust CEO's discretion, provide staff with token gifts to reward efforts beyond their duties such as significant contributions towards extra-curricular activities. These gifts will be non-monetary, non-alcoholic and cost less than **£20**.

7. Reporting suspected bribery

- 7.1. Employees are encouraged to raise concerns about any suspicion of bribery or corruption at the earliest possible opportunity to the relevant Headteacher or CEO. Issues that should be reported include:
 - Any suspected or actual attempts at bribery.
 - Any concerns that an employee may be in receipt of bribes.
 - Any concerns that an employee may be offering or delivering bribes.
- 7.2. All concerns should be reported following the procedure set out in the [Trust Whistleblowing Policy](#).
- 7.3. All reports of bribery will be investigated thoroughly and in a timely manner by the appropriate member of the relevant school leadership team and in the strictest confidence.

- 7.4. Employees who raise concerns in good faith will be supported by the Trust and they will ensure that they are not subjected to any detrimental treatment as a consequence of their report. Any instances of detrimental treatment against an employee for reporting a suspicion will be treated as a disciplinary offence.

8. Following investigation

- 8.1. The Trust will invoke disciplinary procedures where any employee is found guilty of bribery and this may result in a finding of gross misconduct and immediate dismissal. The Trust may terminate the contracts of any associated persons, including consultants or other workers acting for, or on behalf of the Trust, who are found to have breached this policy.

9. Record keeping

- 9.1. The Charter Schools Educational Trust keeps financial records and has appropriate internal controls to provide evidence for the business reasons for making payments to third parties. Employees will make the relevant Headteacher or Trust CFO aware of all hospitality or gifts received or offered over the value of **£20**, or **£50** if received from multiple recipients, these will be subject to managerial review.
- 9.2. The **Gifts and Hospitality Register** is used to record gifts or hospitality that needs to be recorded. The following information will be recorded:
- The nature of the gift/hospitality
 - The date the gift/hospitality was offered
 - Who the gift/hospitality was offered by
 - Name of staff member the gift/hospitality was offered to
 - Value of the gift/hospitality
 - Action taken – for example, whether the offer was refused or accepted
- 9.3. Employees' expenses claims relating to hospitality, gifts or expenses incurred to third parties are submitted in accordance with the Trust's **Staff Expenses Policy**.
- 9.4. All invoices, accounts and related documents should be prepared and maintained with the highest accuracy and completeness.
- 9.5. No accounts may be kept "off-book".

10. Policy review

- 10.1. This policy is reviewed every two years by the CFO and the Head of Trust Governance.
- 10.2. The scheduled review date for this policy is July 2023.